

AFJ 2642
CFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Tran, et al
Appln. No.: 09/382,553
Filing Date: Aug. 25, 1999
Title: **COMMUNICATION NETWORK
MANAGEMENT**
Examiner: Thjaun P. Knowlin
Art Unit: 2642

CERTIFICATE OF EXPRESS MAIL, MAILING OR FACSIMILE
TRANSMISSION

The undersigned hereby certifies that this correspondence is
☐ being deposited under Express Mail Label No. _____ on the date
given below; or
☒ being deposited under 37 CFR 1.8 with the U.S. Postal Service
with sufficient postage as first class mail in an envelope addressed to
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-
1450 on the date given below; or
☐ being facsimile transmitted to the U.S. Patent and Trademark
Office at the fax number and date given below:
Facsimile Number: 703-_____
No. of Pages: IDS + Form 1449 + References (total pages) .
Date of Deposit or Transmission: 3/25/05

By: Peggy Simmons
Typed Name: Peggy Simmons

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97 (b),
(Within three months of either ☐ the filing date of a national application other than a continued
prosecution application under 37 CFR 1.53(d) or ☐ the date of national stage entry of
international application; or ☐ before mailing date of first office action on the merits; or ☐ before
mailing date of a first office action after filing of a request for continued examination under 37
CFR 1.114).
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Certification under 37 CFR 1.97 (e), OR
☐ the \$ 180.00 fee under 37 CFR 1.17 (p) authorized to be charged to Deposit Account **19-
2179**. At any time during the pendency of this application, please charge any fees required or
credit any overpayment to Deposit Account **19-2179** pursuant to 37 CFR 1.25.
(After the 37 CFR 1.97 (b) time period, but before mailing date of any of ☐ a final action under 37
CFR 1.113, or ☒ a notice of allowance under 37 CFR 1.311, or ☐ an action that otherwise
closes prosecution).
- ☒ under 37 CFR 1.97 (d) together with a:
☒ Certification under 37 CFR 1.97 (e), AND
☒ the \$ 180.00 fee under 37 CFR 1.17(p) authorized to be charged to Deposit Account **19-2179**
. At any time during the pendency of this application, please charge any fees required or credit
any overpayment to Deposit Account **19-2179** pursuant to 37 CFR 1.25.
(After the 37 CFR 1.97 (c) time period, but ☐ on or ☒ before payment of the issue fee).
- ☐ under 37 CFR 1.97 (i)
(Filed after time period of 37 CFR 1.97 (d) or not in compliance with 37 CFR 1.98 - No fee or
certification required; references to be placed in file but not considered).

Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation which applicant(s) believe(s) may
be material to the examination of this application and for which there may be a duty to disclose in accordance with
37 CFR 1.56,

☐ together with hard copies of all references as this application was filed before June 30, 2003; or
☒ with hard copies of only non-U.S. patents or non-U.S. published patent applications or U.S. pending
unpublished patent applications (or portion thereof) or publications or other information of which applicant(s) are
aware and which should be made of record in the above-identified application; or
☐ without hard copies of publications or other information which have been submitted previously in the parent
application (application no. _____) to which the above-identified application claims priority under 35 USC 120 and
which should be made of record in the above-identified application.

37 CFR 1.97(e) Certifications:

☒ The undersigned hereby certifies under **37 CFR 1.97(e)(1)** that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. **OR**

☐ The undersigned certifies under **37 CFR 1.97(e)(2)** that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content that is given on ☐ the attached separate sheet or ☐ incorporated in the applicant's specification or ☐ by the enclosed English-language translation or portion thereof within the possession, custody, or control of, or is readily available to any individual designated in 37 CFR 1.56 (c).

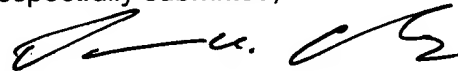
☐ Applicant does not believe any fee is due. However, at any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **19-2179** pursuant to 37 CFR 1.25. A duplicate copy of this sheet is enclosed.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made or that no other material information exists. Further, the filing of this information disclosure statement shall not be construed as an admission against interest in any manner or as an admission that the information cited is or is considered to be material to patentability.

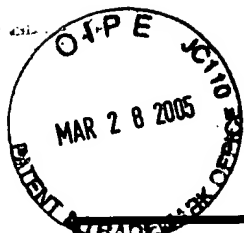
PLEASE MAIL CORRESPONDENCE TO:

Siemens Corporation
Customer No. 28524
Attn: Elsa Keller, Legal Administrator
170 Wood Avenue South
Iselin, NJ 08830

Respectfully submitted,



David D. Chung, Reg. No. 38,409
Attorney(s) for Applicant(s)
Telephone: 650-694-5339
Date: 25 May 60



Substitute for Form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

2

of

2

Complete if Known

Application Number	09/382,553
Filing Date	Aug. 25, 1999
First Named Inventor	Thanh Tran
Group Art Unit	2642
Examiner Name	Thjaun O. Knowlin
Attorney Docket Number	1999P07744US02

OTHER NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	E	RIZZETTO D. et al. "A VOICE OVER IP SERVICE ARCHITECTURE FOR INTEGRATED COMMUNICATIONS", IEEE Network, IEEE Inc., New York, US, vol. 13, no. 3 May 1999 , pages 34-40, XP-000870629	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.